PATENT Serial No. 09/849,003

1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward Alton Harbin

Serial No.:

09/849,003

Filing Date:

May 4, 2001

Title:

UNIQUE ADDRESS SPACE AND METHOD FOR A

TRANSPORT NETWORK

Honorable Assistant Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 2327

ELSDIDDDZSZL Arlington, VA 22202

Dear Sir:

hereby certify correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Date of Signature

Express Mail No. EL 501000252 US

## INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. copy of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed are copies of two PCT International Search Reports and PCT one Invitation to Pay Additional Fees identifying the references contained in this Information Disclosure Statement. The PCT International Search Reports

RECEIVED

DEC 0 2 2004

2

have mailing dates of October 31, 2001 and November 6, 2001, respectively and the PCT Invitation to Pay Additional Fees has a mailing date of November 7, 2001. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the first communications from a foreign patent office in related foreign applications not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicants submit that the references contained in this Information Disclosure Statement were received from a foreign patent office in related foreign applications not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Barton E. Showalter Reg. No. 18,302

2001 Ross Avenue Dallas, Texas 75201-2980 (214) 953-6509

Date: 11/28/2

PTO-1449

ATEMT & TRADE

Information Disclosure Citation
In an Application

		- upc I Ot
Application No.	Applicant(s	5)
09/849,003	Harbin	
Docket Number	Group Art Unit	Filing Date
064731.0170	·	May 4, 2001
	ļ	

### **U.S. PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,231,633	07/27/93	Hluchyj et al.	370	94.1	07/11/90
В	5,825,772	10/20/98	Dobbins et al.	370	396	04/02/96
В	5,850,399	12/15/98	Ganmukhi et al.	370	412	03/27/98
С	5,852,606	12/22/98	Prince et al.	370	393	09/29/95
E	5,946,308	08/31/99	Dobbins et al.	370	392	10/30/97
· F	5,956,341	09/21/99	Galand et al.	370	412	08/07/97
G	6,018,766	01/25/2000	Samuel et al.	709	218	07/18/97
H	6,028,842	02/22/2000	Chapman et al.	370	235	12/23/96
I						
J					RE	CEIVED
K				-	DE	C 0 2 2004
L					Techni	ology Center 2100

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
				1		YES	NO
М	EP 0 849 970 A2	06/24/98	EPO	H04Q11/04	H04L29/06	X	
N	WO 99/66675	12/23/99	PCT	H04L12/46	H04Q11/04	Х	
 0	WO 99/11090	03/04/99	PCT	H04Q 11/04		Х	-
 P	EP 0 959 641 A1	11/24/99	EPO	H04Q 11/04		Х	
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	International Search Report in International Application No. PCT/US 01/13723, dated October 31, 2001, 7 pages.	10/31/2001
S	International Search Report in International Application No. PCT/US 01/13725, dated October 31, 2001, 6 pages.	10/31/2001
Т	International Search Report in International Application No. PCT/US 01/14624, dated November 6, 2001, 6 pages.	11/11/2001

EXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

		PE VC61	e	•					
PTC	)-14	40 NOV 2 3 2004 E		Application No 09/849,003	3		Applicant(s) Harbin		Page 2 of 2
	Inf	ormation Disclosur In an Applicat		Officiation   064731.0170   Ma			iling Date 1ay 4, 200	l	
			U	J.S. PATENT D	OCUMENT	rs			
		DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS	FILING	DATE
	Α								
	В								
	С								
	D	-							
	E								
$\dashv$	F								
_	G						.		
$\dashv$	Н								
	I						<u> </u>		
	J								
$\dashv$	K								<u></u> .
-	L								
		•	FOF	REIGN PATEN	T DOCUM	ENTS			
							armer ve	UBCLASS TRANSLATION YES NO	
		DOCUMENT NO.	DATE	COUNTR	RY	CLASS	SUBCLAS		NO
	M			•					
	N						RE	CEIVE	D
	0						DE	C 0 2 200	4
	P						Techno	logy Center	2100
	Q								
		DOCUM	ENT (Includin	g Author, Title, S	Source, and P	ertinent Pa	ges)	DA	ATE
	R	PCT Invitation to Pay	•				- ·	11/07	7/2001
	S	November 7, 2001, 4 Tsong, Ho Wu, "A Pa	pages.						1994
		XP 000446089, IEEE							
	T								
EXA	AMI	NER			DATE CON	SIDERED			
EXA	MIN iderec	ER: Initial if citation consider  1. Include copy of this form w	red, whether or not c vith next communica	citation is in conformation to the applicant.	nce with MPEP §	609. Draw line		not in conforma	



□ New Apple : C.P.A. : Con. Cip.	Total Pages			Total Pages
<ul> <li>New Appin.; C.P.A; Con.; CIP.</li> <li>Provisional; Converted Provision</li> </ul>		☐ Mo. E	xtension of Time	
(Specification, Claims, Abstract)	14)	☐ Notice of	Appeal	
☐ Bibliographic Data Sheet		☐ Appeal Bi	rief	
Declaration/power of Attorney	PE	☐ Issue Fee	Transmittal	
Assignment O		☐ Check No	Amt.:	
☐ Verified Statement ☐ Formal Drawing		☐ Check No		
☐ Informal Drawings	2 6 2002 5	Serial No.	09/849,00	3
Amendment/Response	<del></del>	Other		
Tru	- Carlo	IDS u	with refere	NCCS
talle of liveritor(s) Edward A	. Harbin		Receipt Date	& Serial No:
Title of Invention; INIQUE A STRESS SPUCES	Method For w Tra	wspit Netwo	iki.	
Title of Invention Ires & Spaces  Client or Applicant: Fujits	BB File No. 731	0170	101	PE
lailed: 3-26-02	Certificate of Mailir		1 7	3 2002 (3)
ue:	Express Mail / Receipt No.: EL9	C31.1.9413		
		1116	ENT& TRAD	WAY OF
tty/Secy: / /	Certificate Of Mailir	ig VS	I PAD	FMAC

# **RECEIVED**

DEC 0 2 2004

Technology Center 2100

# RECEIVED

APR 0 8 2002

1

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward Alton Harbin

Serial No.:

09/849,003

Filing Date:

May 4, 2001

Group Art Unit:

Unknown

Title:

UNIQUE ADDRESS SPACE AND METHOD

FOR A TRANSPORT NETWORK

Honorable Assistant Commissioner for Patents

Washington, D.C.\ 20231

Dear Sir:

hereby certify correspondence is being deposited with the United States Postal Service Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents. Washington, D.C. 20231. Name EL 953669423 US Express Mail No. 3-26-02

Date of Signature

#### INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the reference listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first action. Therefore, Applicants

RECEIVED
DEC 0 2 2004

2

that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Barton E. Showalter

Reg. No. 38,/302

2001 Ross Avenue Dallas, Texas 75201-2980 (214) 953-6509

Date: March 26, 2002

### CORRESPONDENCE ADDRESS:

Baker Botts L.L.P. 2001 Ross Avenue, Suite 600 Dallas, TX 75201-2980

# EL953669423US

RECEIVED DEC 0 2 2004

**Technology Center 2100** 

Information Disclosure Citation In an Application

Application No. 09/849,003 Docket Number

Harbin

Group Art Unit

Applicant(s)

064731.0170

Filing Date May 4, 2001

#### **U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
$\dashv$	Α	5,461,624	10/24/95	Mazzola	370	85.13	08/11/94
$\dashv$	В	5,229,990	07/20/93	Teraslinna	370	60	10/03/90
+	С		-				
Ť	D						
1	E						
7	F						
1	G						
-	H						
	I					RECE	IVED
	J					DEC 0	2 2004
	K						
	L					Technology (	ACHUSI 2100

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	DOCUMENT NO.		COUNTRI	CENTOD		YES	NO
М	WO 00/24164	04/27/00	PCT	H04L 12/56	:	X	
 N	WO 97/40610	10/30/97	PCT	H04L 29/06		X	
 0	WO 00/21254	04/13/00	PCT	H04L 12/56		Х	
 P						-	
Q							

<u> </u>						
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE			
	R	PCT International Search Report No. PCT/US01/13724, dated November 30, 2001, 6 pages.	11/30/00			
	S	Birman A et al.: "Support for RSVP-Based Services Over ATM Networks," Communications:	11/18/96			
	-	The Key to Global Prosperity. Globecom 1996. Global Internet 96 Conference Record. London,				
•		Nov. 18-22, 1996, Global Telecommunications Conference (Globecom, New York, IEEE, US,				
		vol. SUPP, 18, Nov. 1996 pages 10-15, XP000741665 ISBN: 0-7803-3337-3				
	T	Sharma et al.: "Scalable timers for soft state protocols," Infocom '97. Sixteenth Annual Joint	04/07/97			
		Conference of the IEEE Computer and Communications Societies. Driving the Information				
		Revolution., Proceedings IEEE Kobe, Japan 7-11 April 1997, Los Alamitos, CA USA, IEEE				
		Comptu. Soc, US 7 April 1997, pgs. 222-229, XP010252006, ISBN: 0-8186-7780-5				
EX	AMI					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

PT	0-14	in I me	7	Application No. 09/849,003  Docket Number			ing Date	
	Inf	ormation Disclosur In an Applicati	e Citation on	064731.0170		M	lay 4, 2001	
			U	.s. patent docum	ENTS			
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING	DATE
	A							-
	В							
	С							
	D							
	E							
	F							
	G							
	Н							
	I							
	J							
	K							
	L							
	1		FOF	REIGN PATENT DOC	UMENTS			
	T		D. 4 (TIP)	COLDITON	CLASS	SUBCLASS	TRANSI	LATION
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	M							
	N					RE	CEIVE	<b>)</b>
	0					DEC	0 2 2004	
	P	}				- Technol	ogy Center 2	100
	Q						3)	
		DOCUM	ENT (Includin	g Author, Title, Source, a	and Pertinent Pag	ges)	DA	TE
_	R	International Search R		tional Application. No. PC			02/0	)5/02
	S		Leport in Interna	tional Application No. PC	T/US01/14622, da	ited February		
-	T	14, 2002, 7 pages.  Pancha P: "ATM Swi	tch Routers for (	Combined Connection-Ori	ented and Connec	tionless	09/21	-26/97
		Network Evolution: C	Convergence or (	nunications Congress. (Int') Collision? Toronto, Sept. 2	:1-26, 1997, ISS. \	osium). Global World		
EX	I KAMI	NER	Congress. (inter	national Switching Sympo DATE	CONSIDERED		<b>_</b>	
EX	AMIN nsidere	IER: Initial if citation consider d. Include copy of this form w	red, whether or not o	citation is in conformance with Mation to the applicant.	IPEP § 609. Draw line		not in conforms	

U.S. PATENT AND TRADEMARK OFFICE

•	NOV 2 3 2004		<b>\(\frac{1}{2}\)</b>	_	Pa	ge 3 of
ГО-14	49	Application No. 09/849,003		Applicant(s) Harbin		
Info	ormation Disclosure Citatio In an Application	Docket Number 064731.0170	Group Art Unit		ing Date [ay 4, 2001	
		U.S. PATENT DOCUM	IENTS			
T	DOCUMENT NO. DATE	NAME	CLASS	SUBCLASS	FILING	DATE
A						
В						
С				<del> </del>	<del>-</del>	<del></del>
D				<del> </del>	1	
E				<del> </del>		
F	· · ·					
G		<u> </u>				
Н						,
I					<del> </del>	
J						
K						
L				<u> </u>		
		FOREIGN PATENT DOC	CUMENTS		_ <del></del>	
	DOCUMENT NO. DATE	COUNTRY	CLASS	SUBCLASS		
M					YES	NO
N				PECE	IVED	
0				RECE		
P				DEC 0 :	<del>2   2004  </del>	
Q				echnology C	enter 2100	
1	DOCUMENT (Incl	uding Author, Title, Source,	and Pertinent Pa	ges)	DA	ATE
R	Mysore J. P. et al.: "Performance Internet host mobility" Communi International Conference on Atla	of transport protocols over a n cations, 1998. ICC 98. Confere	nulticasting-based ence Record. 1998	architecture fr IEEE		-11/98
	7 June 1998, pages 1817-1823, >	TP010284635, ISBN: 0-7803-4	788-9			01/00
S	Bar-Noy A. et al.: "Topology Dis Computer Communications Revi 20, no. 4, Sept. 1, 1990, pages 24	ew, Association for Computing	g Machinery, New	York, US, vol.		01/90
T	International Search Report in In 2002, 7 pgs.	ternational Application No. PC	CT/US01/13694, d	ated Mar. 12,	03/	12/02
XAMI		DATE	CONSIDERED			

TC	)-14	49 NOV Z 3 200	4 (i)	Application No. 09/849,003		Applicant(s) Harbin			
	Inf						ling Date Aay 4, 2001		
		. <u> </u>	τ	U.S. PATENT DOCUM	ENTS				
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING	DATE	
	A								
	В								
	С								
	D								
	E	-							
•	F	·							
$\top$	G								
7	H				•				
$\top$	I								
+	J								
$\dashv$	K								
$\dagger$	L					<u> </u>			
1_			FO	REIGN PATENT DOC	CUMENTS	<u> </u>	L		
T		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	ATIO	
_	N	DOCUMENT NO.					YES	NC	
$\dashv$	M N							-	
_						RECE	VED		
$\dashv$	O P					DEC 0	2004		
-	Q					Technology (	enter 210	<b>)</b>	
i_							l	L	
		DOCUM	ENT (Includin	ng Author, Title, Source,	and Pertinent Pa	ges)	DA	TE	
$\dashv$	R	Fritz J: "Bullet Proofi	ng ATM: Part I	," Byte, McGraw-Hill Inc.	St. Peterborough,	US, vol. 22,	06/0	1/97	
	no. 6, 1 June 1997, pages 59-60, XP000691556, ISSN: 0360-5280, page 60.  S Un H. et al.: "Supporting IP on the ATM networks: an overview," Computer Communications, Elsevier Science Publishers BV, Amsterdam, NL, vol. 21, no. 11, 10 August 1998, pages 1020-1029, XP004138773, ISSN: 0140-3664, whole document.								
EX.	T AMI	NER		DATE	CONSIDERED		<u></u>		